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PTO/SB/21 (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/539.842 TRANSMITTAL Filing Date June 20, 2005 First Named Inventor **FORM** MUNTAU-HEGER Art Unit 1614 Examiner Name VAKILI, Zohreh (to be used for all correspondence after initial filing) Attorney Docket Number 3535.020 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Forms PTO/SB/08A & PTO/SB/08B; copies Request for Refund **Express Abandonment Request** of foreign and non-patent literature documents; return postcard. CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Akerman Senterfitt Signature Printed name Nicholas J. Landau, Ph.D. Date Reg. No. 57,120 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Nicholas J. Landau, Ph.D. Typed or printed name

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of MUNTAU-HEGER, et al.

Confirmation No. 5018

Application No. 10/539,842

Examiner: VAKILI, Zohreh

Filed: June 20, 2005

Group Art Unit: 1614

Attorney Docket No. 3535.020

For:

USE OF TETRAHYDROBIOPTERINE DERIVATIVES IN THE TREATMENT AND NUTRITION OF PATIENTS WITH AMINO ACID METABOLIC DISORDERS

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF TRANSMISSION/MAILING

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MIChale, J. Canda Qeg. No. 57,120

Nicholas J. Landau, Ph.D.

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent Office of the documents which are listed on the attached Forms PTO/SB/08A and PTO/SB/08B.

Applicants submit that the present invention is patentable over the cited references and submission of the listed documents is not intended as an admission that such documents constitute prior art against the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the present application.

Application No. 10/539,842 Information Disclosure Statement Page 2 of 5

The below references 2, 3, 9, 12, 13, 19, 22, 28, 31, and 33 were cited in the European Search Report issued in corresponding European application as "Category X," or of particular relevance. The references 2, 3, 6, 13, 19, 21, and 30 were cited in a European Office Action for the corresponding International Application. References 1 – 5, 7, 8, 10, 11, 13 – 15, 17, 18, 20, 23 – 17, 29, and 31 – 33 were cited in a Protest of the U.S. National Phase of International Application no. PCF/EP2003/014262 pursuant to 37 C.F.R. §1.291. Copies of U.S. references are not enclosed. A copy of each foreign language reference is enclosed, along with a English translation of the abstract, where available. A copy of the international search report and European Office Action (in German, as no English translation is available) are also enclosed.

Applicants respectfully request that the listed documents be considered by the Examiner and made of record in the present application and that an initialed copy of forms PTO/SB/08A and PTO/SB/08B be returned in accordance with MPEP §609.

- 1. U.S. Patent No. 4,774,244
- 2. U.S. Patent No. 4,778,794
- 3. U.S. Publication No. 2002/0052374 A1
- 4. U.S. Patent No. 5,763,392
- 5. U.S. Patent No. 6,428,990 B1
- 6. WO 1995/13803
- 7. BATES, et al., "Children's Medications: A Parent's Guide", page 6, Harvery Whitney Books, Cincinnati, Ohio, (1996).
- 8. BLAU, et al., "34th EMG Meeting, Zurich CH, held May 31-June 2, 2003", proceedings published October 2002.
- 9. BERNEGGER, et al., "High frequency of tetrahydrobiopterin-responsiveness among hyperphenylalaninemias...", Molecular Genetics and Metabolism, 77:304-313 (2002).

Application No. 10/539,842 Information Disclosure Statement Page 3 of 5

- 10. CURTIUS, et al., The Lancet, 1:657-658 (March 19,1983).
- 11. CURTIUS, et al., "Therapeutic Efficacy of Tetrahydrobiopterin in Parkinson's Disease", Advances in Neurology, 40:463-466 (1984).
- 12. CURTIUS, et al., "Atypical Phenylketonuria due to Tetrahydrobiopterin...", Elsevier/North-Holland Biomedical Press, 93:251-252 (1979).
- 13. DISSING, et al., "Tetrahydrobiopterin and Parkinson's Disease", Acta Neurol. Scand., 79:493-499 (1989).
- 14. ELLIS, JOHN R., "The general concept of molecular chaperones", Phil. Trans. R. Soc. Lond. B, 339:257-261 (1993).
- 15. ERLANDSEN, et al., "A structural hypothesis for BH4 responsiveness in patients...", J. Inherit. Metab. Dis. 24:213-230 (2001).
- 16. FURUKAWA, et al., "Striatal biopterin and tyrosine hydroxylase protein reduction dopa-responsive dystonia", Neurology, 53:1032-1041 (1999).
- 17. HENNERMANN, et al., "Partial and Total Tetrahydrobiopterin-responsiveness in classical and mild phenylketonuria (PKU)", J. Inherit., Metab. Dis., Suppl. 1:1-184 (2002).
- 18. ISAACS, JOHN, "Helping the Medicine Go Down", American Druggist, 37-41 (1999).
- 19. KITAGAWA, et al., "A Nation-wide Study of Tetrahydrobiopterin- responsive Phenylalanine...", J. Inherit. Metab. Dis. Suppl. 1: 24 (2001).
- 20. KURE, et al., Tetrahydrobiopterin-responsive phenylalanine hydroxylase deficiency", The Journal of Pediatrics, 135:375-8 (1999).
- 21. MILLER, et al., "Role of Hydroxylase Cofactor in Regulating Dopamine Synthesis: Relevance to Neurological Disorders", 471-474 (1986).
- 22. MUNTAU, et al., "Tetrahydrobiopterin as an Alternative Treatment for Mild Phenylketonuria", N Engl J Med, 347-26:2122-32 (2002).

Application No. 10/539,842 Information Disclosure Statement Page 4 of 5

- 23. NAGATSU, TOSHIHARU, "Tyrosine hydroxylase:human isoforms, structure and regulation in physiology and pathology", Essays in Biochemistry, 30:15-35 (1995).
- 24. "PhenylAde", Product Guide, Applided Nutrition Corp., (November 2002).
- 25. "PhenylAde", Product Guide, Applided Nutrition Corp., (May 2002).
- 26. SCHAUB, et al., "Tetrahydrobiopterin therapy of atypical phenylketonuria due to defective dihhydrobiopterin biosynthesis", Archives of Disease in Childhood, 53:674-683 (1978).
- 27. SHINOZAKI, et al., "Oral Administration of Tetrahydrobiopterin Prevents Endothelial Dysfunction..." Circulation Research, 87:655-73 (2000).
- 28. STEINFELD, et al., "A hypothesis on the biochemical mechanism of BH4-responsiveness in phenylalanine hydroxylase deficiency", Amino Acids, 25:63-68 (2003).
- 29. STEINFELD, et al., "Tetrahydrobiopterin monotherapy for phenylketonuria patients with common mild mutations", Eur J Pediatr, 161:403-5 (2002).
- 30. TANAKA, et al., "On-off phenomenon in a child with Tetrahydrobiopterin deficiency due to 6-pyruvoyl tetrahydropterin synthase...", Eur J Pediatr, 148:450-52 (1989).
- 31. TREFZ, et al., "Successful treatment of phenylketonuria with tetrahydrobiopterin", Eur J. Pediatr, 160:315 (2001).
- 32. WALTER, et al., "Inhalation of the Nitric Oxide Synthase Cofactor Tetrahydrobiopterin in Health Volunteers", Am J Respir Crit Care Med, 156: 2008-10 (1997).
- 33. WEGLAGE, et al., "Tetrahydrobiopterin responsiveness in a large series of phenylketonuria patients", J. Inherit. Metab. Dis. 25:321-322 (2002).

Attorney Docket No. 3535.020

Application No. 10/539,842 Information Disclosure Statement Page 5 of 5

Certification

This Statement is being filed prior to the issuance of the first Office Action for the present application, and this paper is thus submitted in accordance with 37 C.F.R. 1.97(b).

In view of the above certification, a fee is not required for consideration of this document. Nevertheless, should a fee be deemed to be due by the Commissioner, such fee should be charged to Deposit Account No. 50-0951.

Respectfully submitted,

Dated: 11/62/2006

Docket No. 3535.020

Michale, J. Landau, Ph.D.

Reg. No. 57,120

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/539,842			
Filing Date	June 20, 2005			
First Named Inventor	MUNTAU-HEGER			
Art Unit	1614			
Examiner Name	VAKILI, Zohreh			
Attorney Docket Number	3535.020			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ļ	Number-Kind Code ² (# known)	_		Figures Appear
		^{US-} 4,774,244	09-27-1988	CURTIUS, ET AL	
		^{US-} 4,778,794	10-18-1988	NARUSE, ET AL	
-		^{US-} 2002/0052374 A1	05-02-2002	RABELINK, ET AL	
		^{US-} 5,763,392	06-09-1998	HANSEN, ET AL	
		^{US-} 6,428,990 B1	08-06-2002	MUKERJI, ET AL	
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Ιť
		WO 1995/13803	05-26-1995	CORNELL RESEARCH		
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Examiner	Date	
Signature	Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Complete if Known Substitute for form 1449/PTO **Application Number** 10/539,842 INFORMATION DISCLOSURE Filing Date June 20, 2005 STATEMENT BY APPLICANT First Named Inventor MUNTAU-HEGER Art Unit 1614 (Use as many sheets as necessary) **Examiner Name** VAKILI, Zohreh Attorney Docket Number Sheet 3535.020 12 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BATES, et al., "Children's Medications: A Parent's Guide", page 6, Harvery Whitney Books, Cincinnati, Ohio, (1996).	
		BLAU, et al., "34th EMG Meeting, Zurich CH, held May 31-June 2, 2003", proceedings published October 2002.	
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		FURUKAWA, et al., "Striatal biopterin and tyrosine hydroxylase protein reduction dopa-responsive dystonia", Neurology, 53:1032-1041 (1999).	

Examiner	 Date	
Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 10/539,842 **Filing Date** June 20, 2005 **First Named Inventor** MUNTAU-HEGER Art Unit 1614 **Examiner Name**

VAKILI, Zohreh

(Use as many sheets as necessary)

Attorney Docket Number Sheet 3535.020 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HENNERMANN, et al., "Partial and Total Tetrahydrobiopterin-responsiveness in classical and mild phenylketonuria (PKU)", J. Inherit., Metab. Dis., Suppl. 1:1-184 (2002).	
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		MILLER, et al., "Role of Hydroxylase Cofactor in Regulating Dopamine Synthesis: Relevance to Neurological Disorders", 471-474 (1986).	
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		"PhenylAde", Product Guide, Applided Nutrition Corp., (November 2002).	
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		SCHAUB, et al., "Tetrahydrobiopterin therapy of atypical phenylketonuria due to defective dihhydrobiopterin biosynthesis", Archives of Disease in Childhood, 53:674-683 (1978).	

	 	
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				Application Number	10/539,842		
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	(Use as many sm	reis as 11	ecessary	Examiner Name	VAKILI, Zohreh		
Sheet	4	of	4	Attorney Docket Number	3535.020		

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